STATE OF ILLINOIS ILLINOIS COMMERCE COMMISSION



Illinois Commerce Commission
RAIL SAFETY SECTION

WISCONSIN CENTRAL LTD.,)	RAIL SAFE
Petitioner,)) `	
V.)) Docket No.	T02-0030
ILLINOIS DEPARTMENT OF TRANSPORTATION,))	
Respondents.)))	
Petition of Wisconsin Central Ltd. seeking an order of the Illinois Commerce Commission directing that an additional track and grade crossing be constructed at Oakton Street (DOT 689-654N) on the Wisconsin Central Ltd. In the City of Des Plaines, Cook County, IL.)))))	

NOTICE OF LATE FILING OF EXHIBIT

To: June Tate, ALJ
Henry Humphries, ICC
Michael J. Barron Jr., W.C. Atty.
David R. Wiltse, Des Plaines Atty.
Donna McAllister, City Clerk

PLEASE TAKE NOTICE that I have this 18th day of July 2002 forwarded to Mr. Kevin Sharpe, Director of Processing, Transportation Division of the Illinois Commerce Commission, Springfield, Illinois, for late filing in the above matter, Department's Exhibit 1, a copy of which is attached hereto and hereby served upon you.

Stacey C, Hollo

Special Assistant Attorney General 2300 South Dirksen Parkway

2300 South Dirksen Pa

Room 311

Springfield, Illinois 62764

(217) 782-3215

Counsel for the Illinois Department of Transportation

JURIS DICTIONAL TRANSFER



Illinois Department of Transportation

Division of Highways/District 1 201 West Center Court/Schaumburg, Illinois 60196-1096

August 9, 2001

Notification: Date of Jurisdictional Transfer

State-City of Des Plaines Agreement

Section: 97-00173-00-PV

Cook County FAU Route 1332 Contract: 83358

The Honorable Anthony W. Arredia Mayor City of Des Plaines 1420 Miner Street/Northwest Highway Des Plaines, IL 60016

Re: Jurisdictional Transfer - Oakton Street from the east edge of pavement of Lee Street/U.S. 12/45 to the west edge of pavement of Des Plaines River Road.

Dear Mayor Arredia:

In accordance with the terms of the attached agreement executed on November 18, 1998, the transfer of highway jurisdiction from the State of Illinois to the City of Des Plaines is confirmed to occur on August 22, 2001. A map is attached showing the location for the above referenced highway.

If you have any questions or need additional information, please contact Mr. Jacek Tyszkiewicz, Bureau Chief of Maintenance, at (847) 705-4162.

Very truly yours,

ome & Kan

John P. Kos, P.E.

District Engineer

Mr. David C. Orr, County Clerk/Cook County cc:

Mr. Wally Kos, County Engineer, Cook County

Ms. Donna E. McAllister, City Clerk/City of Des Plaines

Mr. F. Wallace Douthwaite, City Manager/City of Des Plaines

JURIS DICTIONAL TRANSFER

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Des Plaines (C')	\dashv	-		97-00	0173-0	0-PV				_
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This Agreement is	made and entered	into between th	ie above loc	al agency (LA) and the	State of	of Illinois, ac	ting by a	nd thro	ough its	
Department of 1 ra	insportation, herein:	after referred to	as STATE	. The STATE	and LA	jointly p	ropose to in	prove th	e desi	gnated location	חכ
as snown below.	The improvement si	nall be construc	ted in accor	dance with pla	ins appro	oved by	the STATE	and the	STATI	E's policies au	٦d
procedures appro	ved and/or required	by the United S	itales reder	ai Highway Ad	ımınıstrai	lion ner	einaiter rete	rred to a	2 HW	/A.	
-				Location							
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Name <u>Oakton</u>	Street	R	toute <u>FA</u>	<u>U 1332</u> Le	ngth _.			KM (0.79	Miles)	
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pavement markings	and all incidental w	ork to complete	the project		01100			1711110 01		nemoplasiie	
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Agreement Provisions

THE LOCAL AGENCY AGREES:

- To acquire in its name, or in the name of the state if on the state highway system, all right-of-way necessary for this project in accordance with the requirements of Titles II and III of the Uniform Relocation Assistance, and Real Property Acquisition Policies Act of 1970, and established State policies and procedures. Prior to advertising for bids, the LA shall certify to the STATE that all requirements of Titles II and III of said Uniform Act have been satisfied and the disposition of encroachments, if any, will be cooperatively determined by representatives of the LA, and STATE and the FHWA.
- (2) To provide for all utility adjustments, and to regulate the use of the right-of-way of this improvement by utilities, public and private, in accordance with the current Utility Accommodation Policy For Local Agency Highway and Street Systems.
- (3) To provide for surveys and the preparation of plans for the proposed improvement and engineering supervision during construction of the proposed improvement.
- (4) To retain jurisdiction of the completed improvement unless specified otherwise by addendum (addendum should be accompanied by a location map).
- (5) To maintain or cause to be maintained, in a manner satisfactory to the STATE and FHWA, the completed improvement, or that portion of the completed improvement within their respective jurisdiction as established by addendum referred to in item 4 above.
- (6) To comply with all applicable Executive Orders and Federal Highway Acts pursuant to the Equal Employment Opportunity and Nondiscrimination Regulations required by the U.S. Department of Transportation.
- To maintain, for a minimum of 5 years after the completion of the contract, adequate books, records and supporting documents to verify the amounts, recipients and uses of all disbursements of funds passing in conjunction with the contract; the contract and all books, records and supporting documents related to the contract shall be available for review and audit by the Auditor General and the department; and to provide full access to all relevant materials. Failure to maintain the books, records and supporting documents required by this section shall establish a presumption in favor of the STATE for the recovery of any funds paid by the STATE under the contract for which adequate books, record and supporting documentation are not available to support their purported disbursement..
- (8) To provide if required, for the improvement of any railroad-highway grade crossing and rail crossing protection within the limits of the proposed improvement;
- (9) To comply with Federal requirements or possibly lose (partial or total) Federal participation as determined by the FHWA;
- (10) (STATE Contracts Only) That the method of payment designated on page one will be as follows:
 - Method A Lump Sum Payment. Upon award of the contract for this improvement, the LA will pay to the STATE, in lump sum, an amount equal to 95% of the LA's estimated obligation incurred under this Agreement, and will pay to the STATE the remainder of the LA's obligation (including any nonparticipating costs) in a lump sum, upon completion of the project based upon final costs.
 - Method B Monthly Payments. Upon award of the contract for this improvement, the LA will pay to the STATE, a specified amount each month for an estimated period of months, or until 95% of the LA's estimated obligation under the provisions of the Agreement has been paid, and will pay to the STATE the remainder of the LA's obligation (including any nonparticipating costs) in a lump sum, upon completion of the project based upon final costs.
 - Method C Progress Payments. Upon receipt of the contractor's first and subsequent progressive bills for this improvement, the LA will pay to the STATE, an amount equal to the LA's share of the construction cost divided by the estimated total cost, multiplied by the actual payment (appropriately adjusted for nonparticipating costs) made to the contractor until the entire obligation incurred under this Agreement has been paid.
- (11) (Day Labor or Local Contracts Only) To provide or cause to be provided all of the initial funding, equipment, labor, material and services necessary to construct the complete project.
- (12) (Preliminary Engineering Only) In the event that right-of-way acquisition for, or actual construction of the project for which this preliminary engineering is undertaken with Federal participation is not started by the close of the fifth fiscal year following the fiscal year in which this agreement is executed, the LA will repay the STATE any Federal funds received under the terms of this Agreement.
- (Right-of-Way Acquisition Only) That in the event that the actual construction of the project on this right-of-way is not undertaken by the close of the tenth fiscal year following the fiscal year in which this Agreement is executed, the LA will repay the State any Federal Funds received under the terms of this Agreement.

Local Agency	Section
Des Plaines (C)	97-00173-00-PV

- (14) And certifies to the best of its knowledge and belief its officials:
 - (a) are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency:
 - (b) have not within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements receiving stolen property;
 - (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, local) with commission of any of the offenses enumerated in item (b) of this certification; and
 - (d) have not within a three-year period preceding the Agreement had one or more public transactions (Federal, State, local) terminated for cause or default.
- (15) To include the certifications, listed in item 14 above and all other certifications required by State statutes, in every contract, including procurement of materials and leases of equipment.
- (16) That execution of this agreement constitutes the LOCAL AGENCY's concurrence in the award of the construction contract to the responsible low bidder as determined by the STATE.
- (17) That for agreements exceeding \$100,000 in federal funds, execution of this Agreement constitutes the LOCAL AGENCY'S certification that:
 - (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement.
 - (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress, in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
 - (c) The LOCAL AGENCY shall require that the language of this certification be included in the award documents for all subawards at all ties (including subcontracts, subgrants and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- (18) To regulate parking and traffic in accordance with the approved project report.

THE STATE AGREES:

- (1) To provide such guidance, assistance and supervision and to monitor and perform audits to the extent necessary to assure validity of the LA's certification of compliance with Titles II and III requirements.
- (2) (STATE Contracts Only) To receive bids for the construction of the proposed improvement when the plans have been approved by the STATE (and FHWA, if required) and to award a contract for construction of the proposed improvement, after receipt of a satisfactory bid.
- (3) (Day Labor Only) To authorize the LA to proceed with the construction of the improvement when Agreed-Unit Prices are approved and to reimburse the LA for that portion of the cost payable from Federal and/or State funds based on the Agreed Unit Prices and Engineer's Payment Estimates in accordance with the Division of Cost on page one.
- (4) (Local Contracts) That for agreements with Federal and/or State funds in engineering, right-of-way and/or utility work:
 - (a) To reimburse the LOCAL AGENCY for the Federal and/or State share of engineering, right-of-way utility work on the basis of periodic billings, provided said billings contain sufficient cost information and, if said services are performed by a consultant, and show evidence of payment by the LOCAL AGENCY.
 - (b) To provide independent assurance sampling, to furnish off-site material inspection and testing at sources normally visited by STATE inspectors of steel, cement, aggregate, structural steel and other materials customarily tested by the STATE.

IT IS MUTUALLY AGREED:

- (1). That this agreement shall be null and void in the event that the FHWA does not approve the proposed improvement for Federalaid participation and in the event the contract covering the construction work contemplated herein is not awarded within three years of the date of execution of this Agreement.
- (2) This Agreement shall be binding upon the parties, their successors and assigns.
- It is the policy of the U.S. Department of Transportation that Minority Business Enterprises, as defined in 49 CFR Part 23, shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with Federal funds under this Agreement. Consequently the MBE requirements of 49 CFR Par 23 apply to this agreement. The STATE/LA agrees to ensure that minority business enterprises, as defined in 49 CFR Part 23, have the maximum opportunity to participate in the performance of this Agreement. In this regard the STATE/LA shall take all necessary and reasonable steps, in accordance with 49 CFR Part 23, to ensure that minority business enterprises have the maximum opportunity to compete for and perform portions of contracts and subcontracts financed in part with Federal funds provided under this Agreement. The STATE/LA shall not discriminate on the basis of race, color, national origin or sex in the selection and retention of contractor or subcontractors including procurement of materials and leases of equipment. The LA shall include the provisions of this "Policy" in every contract, including procurement of materials and leases of equipment. Failure to carry out the requirements set forth above shall constitute a breach of this Agreement and may result in termination of the Agreement or such remedy as deemed appropriate.
- (4) This Agreement shall be administered under the provisions of the STATE's federally approved Disadvantaged Business Enterprise Program.

	DA -	DDENDA
<u> </u>		ed and identified below as being a part of this Agreement.
Addendum <u>#1-Changes in Agreeme</u>	nt Provisions, BLR 1600, Ext (Insert NA, if not applicable or	chibits A & B. or else addendum numbers and titles as applicable)
The LA further agrees, as a condition of and all addenda indicated above.	payment, that it accepts and	d will comply, with the applicable provisions set forth in this Agreement .
APPROVED	•	APPROVED
		State of Illinois
Name Paul W. Jung		Department of Transportation
Title Mayor		By Ding C Man
County Board Chairmerson/Ma	/or/Village President/etc.	Director of Highways
Signature Aw W	1. Jun/	11/18/98"
s:\gen\wp2\910spt1.doc	// V	Date

Des Plaines/Oakton Street Section: 97-00173-00-PV

Cook County

DIVISION OF COST

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FHWA	%	STATE	%	CITY		TOTAL
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922,530. ·	(70.)	395,370.	(30.)			1,317,900.
				1,		
134,960.	(70.)			57,840.	(30.)	192,800.
						
161,980	(70.)	34,710.	(15.)	34,710.	(15.)	231,400.
279,160.	(70.)			119,640.	(30.)	398,800.
			-		 ` 	
82,180.	(70.)	35,220.	(30.)			117,400.
			` ′	- ∫ · t		
62,860.	(70.)	13,470.	(15.)	13,470.	(15.)	89,800.
		1		282,900.	(100.)	282,900.*
	 					
150,010.	(70.)	31,073	(14.5)	33,217	(15.5)	214,300.
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		69,890		(\$69,890)**	1	
\$1,793,680				\$471,887		\$2,845,300
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^{*}Watermain

^{**}Credit for Phase I & II engineering (50% of \$139,780.84) which shall be added to State Obligation.

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Des Plaines/Oakton Street Section: 97-0017_ J0-PV

Cook County

ADDENDUM #1

An Addendum to the Joint City-State Agreement For Project STPM-7003(481) in the City of Des Plaines, Cook County, Illinois

CHANGES IN "AGREEMENT PROVISIONS"

I. UNDER "IT IS MUTUALLY AGREED":

The following items are added:

- (5) Upon completion of the improvement, and twenty one (21) calendar days after final inspection by the STATE, CITY will assume jurisdiction and maintenance of Oakton Street from east edge of pavement of Lee Street/US Route 12/45 to west edge of the pavement of Des Plaines River Road, and also agree to maintain or cause to be maintained in a manner satisfactory to the STATE and FHWA their portions of the improvement under their established jurisdictional authority. The VILLAGE will adopt and will put into effect prior to the STATE advertising for the work to be performed hereunder, and appropriate ordinance adding the portion of roadway, of Oakton Street from east edge of pavement of Lee Street/US Route 12/45 to west edge of the pavement of Des Plaines River Road, and identified as (BLR-1600) which is attached hereto and made a part hereof, to the CITY system. A copy of said ordinance is also attached hereto as Exhibit B and made a part hereof. For Location Map of the improvement see Exhibit A.
- (6) All traffic control equipment to be used in the traffic signal work included herein must be approved by the STATE prior to its installation. The STATE must be notified a minimum of three (3) working days prior to the final inspection. Final inspection of the signalized intersection will be made by a representative of the STATE, and, if satisfactory, authorization for turn on will be given.
- (7) Upon acceptance of the traffic signal work the financial responsibility for the maintenance and electrical energy for the operation of the traffic signals of Oakton Street at Lee Street/US 12/45 shall be as follows:

Maintenance:	- STATE CITY	100% 0%
Energy:	STATE CITY	0% 100%

Des Plaines/Oakton Greet Section: 97-00173-65-PV

Cook County

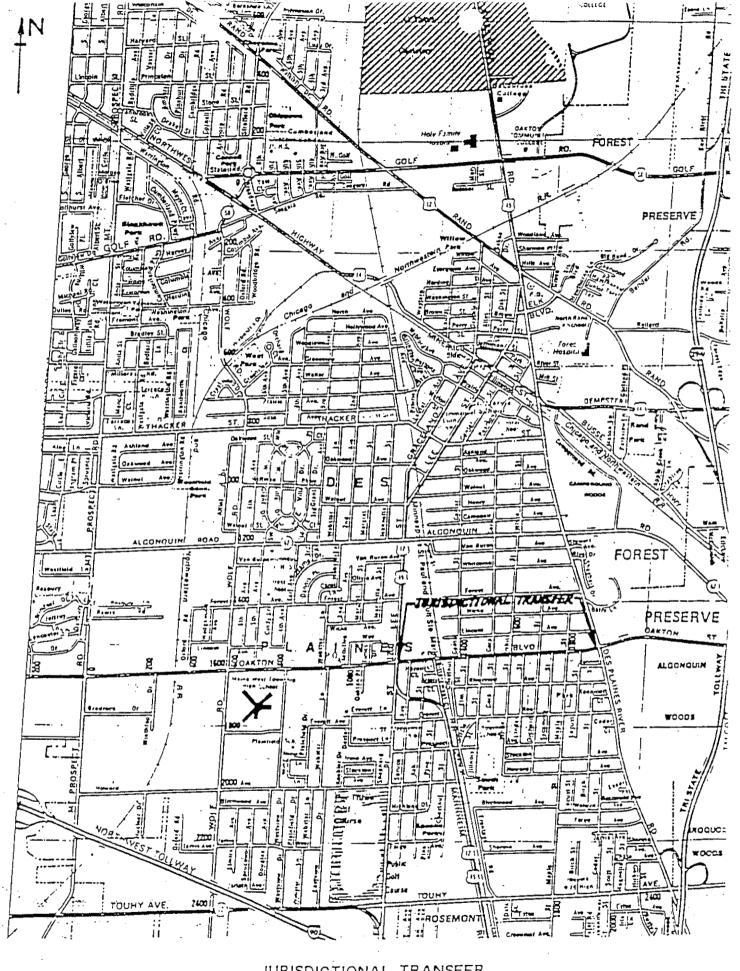
(8) Upon acceptance of the traffic signal work the financial responsibility for the maintenance and electric energy for the operation of the traffic signals of Oakton Street at White Street shall be as follows:

Maintenance:	STATE CITY	0% 100%
Energy:	STATE CITY	0% 100%

- (9) It is further agreed that upon acceptance by all involved parties of the jurisdictional transfer of Oakton Street, the responsibility for maintenance and electrical energy shall continue to be as part of the Master Agreement executed by the STATE and the City of Des Plaines on April 14, 1994 except the intersection of Oakton Street and White Street, which shall be deleted.
 - (10) A \$69,890.42 credit for phase I and II engineering (50% of \$139,780.84) will be added to the state's obligation and subtracted from the city's obligation as noted in the division of cost. This credit will be utilized on the state contract work prior to the STATE billing the city for the city's share.



Local Agency	Type of Systems Transfer				
Municipality: Des Plaines	Type 1	Type 2			
Township/Road District:	From: State Highway System	From: Local Highway System			
County:	To: Local Highway System	To: State Highway System			
Section Number: 97-00173-00-PV	_				
(for transfers involving an improvement)	Indicate Type of Systems Trans	sfer :Type I			
The above local agency, and the State of Illinois, acting by a jurisdiction of the designated location in the manner indicate	and through its Department of Tra d above under Type of Systems	nsportation, agree to transfer the Transfer			
Locatio	n Description				
Name Oakton Street Route	FAU 1332 Length	KM (<u>0.89</u> miles			
Termini East edge of pavement of Lee Street/US Route 12	2/45 to west edge of pavement of	DesPlaines River Road			
*		•			
	-	4.			
This transfer does does not include Structure No.	N/A	• •			
WHEREAS, the authority to enter into this contract is granted the authority to make changes in the State Highway System Code.	is granted the State under Section	the Illinois Highway Code and n 2-101 of the Illinois Highway			
Include for M	unicipalities Only				
WHEREAS, the authority to make changes to the Municipal State Illinois Highway Code NOW THEREFORE IT IS AGREED that the corporate authority transfer of the above location and shall attach hereto and ma	rity of said municipality will pass a	n ordinance providing for the			
copy of the ordinance as Exhibt No. 2, and	1				
	Counties Only				
WHEREAS, the authority to make changes to the County Hig Illinois Highway Code.					
NOW THEREFORE IT IS AGREED that the County Board of the above location and shall attach hereto and make a part he the resolution as Addendum No. 2, and	said County will pass a resolution ereof a copy of a location map as	r providing for the transfer of Addendum No, 1 and a copy of			
Include for Townsh	ip/Road Districts Only				
WHEREAS, the authority to make changes to the Township/Funder Section 6-201.3 of the Illinois Highway Code and said bereof a copy of a location map as Addendum No. 1, and	Road District System is granted to Highway Commissioner shall attac	the Highway Commissioner the hereto and make a part			
IT IS MUTUALLY AGREED, that this jurisdictional transfer will	l become effective 21 calendar da	sys after:			
(Check One)		•			
Final Inspection by the State Acceptance by the State Execution of Agreement	Final Inspection by the Local Age				
Cumai		•			
· · · · · · · · · · · · · · · · · · ·	ements				
Additional information and/or stipulations, if any, are hereby at transfer.	tached and identified below as be	ing a part of this jurisdictional			
Supplement N/A	S and a section of				
(Insert supplement numbers of lette	ers and page numbers, if applicable.)				
IT IS FURTHER AGREED, that the provisions of this jurisdiction parties hereto, their successors and assigns.	nal transfer shall be binding and	inure to the benefit of the			



JURISDICTIONAL TRANSFER

MAP OCATION

QAKTON ST. IMPROVEMENT PLAINES RIVER RD.) (LEE STAIS RIE

EXHIBIT *1

DesPlaines/Oakto Street Section: 97-00173-00-PV Cook County

EXHIBIT #2

Resolution Municipal Optimanoe No. R. 59-98

Providing for the addition of the improvement of Oakton Street from east edge of pavement of Lee Street/US Route 12/45 to west edge of pavement of DesPlaines River Road, within the City limits to the Municipal Street System of the City of Des Plaines, Cook County, Illinois.

Whereas the City of Des Plaines and the State of Illinois under date of Janhou 21 1998 entered into an agreement for transfer of jurisdiction of Oakton Street to the municipal street system.

Now, therefore, be it ordained by Mayor and City Council of the City of Des Plaines that the improvement of Oakton Street from east edge of pavement of Lee Street/US Route 12/45 to west edge of pavement of DesPlaines River Road within the City limits be added to the municipal street system.

The City Clerk is directed to forward a certified copy of this Ordinance to the State of Illinois through its District Engineer's office at 201 W. Center Court, Schaumburg, Illinois.

CERTIFICATE

I, <u>Inchallistee</u>. City Clerk in and for the City of Des Plaines in the County of Cook in the State of Illinois, and keeper of the records and files thereof, as provided by statute, do hereby certify the foregoing to be a true, perfect and complete copy of an ordinance passed by the Mayor and City Council of the City of Des Plaines at its meeting held on the City of Des Plaines at its

In testimony whereof, I have hereunto set my hand and affixed the seal of the City at my office this 24, A.D., 1998.

(SEAL)

JII Y CLERK

PROOF OF SERVICE

The undersigned hereby certifies that a copy of the foregoing instrument was served upon the addressees listed below by mailing a true and correct copy via first class mail, postage pre-paid and depositing the same in the United States Mail, Springfield, Illinois, this 18th day of July, 2002:

Stacey C. Halls

June Tate
Administrative Law Judge
State of Illinois Bldg.
160 N. LaSalle
Suite C-800
Chicago, Illinois 60601-3104

Illinois Commerce Commission Attn: Henry Humphries 527 East Capitol Avenue Springfield, IL 62701

Mr. Michael J. Barron, Jr. Counsel Wisconsin Central Ltd. 455 North Cityfront Plaza Drive Chicago, IL 606011-4400

Mr. David R. Wiltse City Attorney City of Des Plaines 1420 Miner Des Plaines, IL 60016

Donna McAllister City Clerk City of Des Plaines 1420 Miner Street Des Plaines, IL 60016-4400